

PTO-1449 Information Disclosure Citation in an Application <div style="border: 1px solid black; border-radius: 50%; padding: 5px; display: inline-block; transform: rotate(-15deg);"> MAR 06 2000 0537 311 </div>		Application No. 09/415,293		Applicant(s) Earl T. Carter	
Docket Number 062891.0324		Group Art Unit 2737 2132		Filing Date October 8, 1999	

U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A		5,448,724	9/5/95	Hayashi	395	182.02	3/17/94
B		5,919,257	7/6/99	Trostle	713	200	8/8/97
C		5,931,946	8/3/99	Terada, et al.	713	201	2/6/97
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EXAMINER <i>Abdulhakim Nobakar</i>	DATE CONSIDERED 05/12/03
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PTO-1449 Information Disclosure Citation in an Application		Application No. 09/415,293		Applicant Earl T. Carter			
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RECEIVED DEC 07 1999 Filing Date 10/8/99 Group 2700							
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Am	A	5,796,942	8/18/98	Daniel Esbensen	395	187.01	11/21/96
Am	B	5,793,763	8/11/98	Mayes, et al.	370	389	11/3/95
Am	C	5,557,742	9/17/96	S.E. Smaha, et al.	395	186	3/7/94
Am	D	5,032,979	7/16/91	Hecht et al.	364	200	6/22/90
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Am	F	5,101,402	3/31/92	Chiu et al.	370	17	5/24/98
Am	G	5,414,833	5/9/95	Hershey et al.	395	575	10/27/93
Am	H	5,826,014	10/20/98	Coley et al.	395	187.01	2/6/96
Am	I	5,805,801	9/8/98	Holloway et al.	395	187.01	1/9/97
Am	J	5,798,706	8/25/98	Kraemer et al.	340	825.07	6/18/96
Am	K	5,699,513	12/16/97	Feigen et al.	395	187.01	3/31/95
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Am	M	5,606,668	2/25/97	Gil Shwed	395	200.11	12/15/93
Am	N	5,524,238	6/4/96	Miller et al.	395	600	3/23/94
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Am	Q	"Preliminary Report on Advanced Security Audit Trail Analysis on UNIX," N. Habra et al., pp. 1-34 (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).					9/94
Am	R	"IDIOT-Users Guide," M. Crosbie, et al., pp. 1-63, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).					9/96
Am	S	"An Introduction to Intrusion Detection," A. Sundaram, pp. 1-10, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).					
Am	T	"Use of A Taxonomy of Security Faults," T. Aslam, et al., pp. 1-10, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).					9/96
Am	U	"Artificial Intelligence and Intrusion Detection: Current and Future Directions," Jeremy Frank, pp. 1-12, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).					6/94
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C	"ASAX Conceptual Overview," ASAX Brochure, A. Mounji, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	
D	"GrIDS-A Graph Based Intrusion Detection System For Large Networks," S. Staniford-Chen et al., 10 pages, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	
E	"A Pattern Matching Model For Misuse Intrusion Detection," S. Kumar, et al., pp. 1-11, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	6/94
F	"An Application of Pattern Matching in Intrusion Detection," S. Kumar, et al., pp. 1-55, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	3/95
G	"A Software Architecture to Support Misuse Intrusion Detection", S. Kumar, et al., pp. 1-17, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	
H	"Applying Genetic Programming to Intrusion Detection", M. Crosbie, et al., pp. 1-8, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	
I	"Defending a Computer System Using Autonomous Agents", M. Crosbie, et al., pp. 1-11, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	3/94
J	"Analysis Of An Algorithm For Distributed Recognition And Accountability", C. Ko, et al., pp. 1-11, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	
K	"A Standard Audit Trail Format", Matt Bishop, <i>Proc. of the 1995 NISSC</i> , Baltimore, MD., (found at http://seclab.cs.ucdavis.edu/papers.html).	1995
L	Master Thesis entitled "USTAT A Real-time Intrusion Detection System for UNIX, University of California, K. Ilgun, pp. 1-204, (found at http://www.cs.purdue.edu/coast/archive/data/categ24.html).	11/92
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N	"The Architecture and Implementation of Network-Layer Security Under Unix", J. Ioannidis, et al., 11 pages, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	
O	"A Best-Case Network Performance Model", S.M. Bellovin, pp. 1-10, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	2/92

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Am	C "OARnet Security Procedures", K. Varadhan, pp. 1-14, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	9/92
Am	D "Paving The Road To Network Security Or The Value Of Small Cobblesstones", H. Orman, et al., pp. 1-17, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	5/94
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Am	F "Security Problems in the TCP/IP Protocol Suite", S.M. Bellovin, (reprinted from Computer Communication Review, Vol. 19, No. 2, pp. 32-48) pp. 1-17.	4/89
Am	G "A Security Analysis of the NTP Protocol", Matt Bishop, pp. 1-20, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	1990
Am	H "WAN-hacking with AutoHack-Auditing Security Behind the Firewall", Alec Muffett, 14 pages, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	6/95
Am	I "ACMAINT: An Account Creation and Maintenance System for Distributed UNIX Systems", D.A. Curry, et al., pp. 1-9, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	10/90
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Am	K "Pseudo-Network Drivers and Virtual Networks", S.M. Bellovin, 15 pages, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	
Am	L Masters Thesis entitled "Addressing Weaknesses In The Domain Name System Protocol", Purdue University, Christoph Schuba, pp. 1-87., (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).	8/93
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FOREIGN PATENT DOCUMENTS					
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NON-PATENT DOCUMENTS					
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C	"Characteristics of Wide-Area TCP/IP Conversations", R. Cáceres, et al., pp. 1-12, (found at http://www.cs.purdue.edu/coast/archive/data/categ30.html).				
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G	"Internetwork Security Monitor: An Intrusion-Detection System for Large-Scale Networks", L.T. Heberlein et al., <i>Proc. of the 15th National Computer Security Conference</i> , October 1992, pp. 262-271.				1992
H	"Comparison Between Internet Security Scanner (ISS) 1.x and Internet Scanner 3.2", by Internet Security Systems., (found at http://www.iss.net).				1996
I	"Automated Tools for Testing Computer System Vulnerability", W.T. Polk, 40 pages.				12/92
J	"The Design of GrIDS: A Graph-Based Intrusion Detection System, S. Cheung et al., <i>U.C. Davis Computer Science Department Technical Report SCE-99-2</i> , 1999, pp. 1-47, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1/26/99
K	"Luby-Rackoff Backwards: Increasing Security by Making Block Ciphers Non-Invertible", M. Bellare, et al., <i>Advances in Cryptology-Eurocrypt 98 Proceedings, Lecture Notes in Computer Science, Vol. 1403</i> Springer-Verlat (1998) pp. 1-27, (found at http://seclab.cs.ucdavis.edu/papers.html .)				10/17/98
L	"Detecting Disruptive Routers: A Distributed Network Monitoring Approach", K.A. Bradley, et al., <i>Proceedings of the 1998 IEEE Symposium on Security and Privacy</i> , Oakland, CA, pp. 115-124 (found at http://seclab.cs.ucdavis.edu/papers.html .)				5/98
M	"Stack and Queue Integrity on Hostile Platforms", P.T. Devanbu, et al., <i>IEEE Symposium on Security and Privacy</i> , Oakland CA, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1998
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<i>Am</i>	C	"Data Level Inference Detection in Database Systems", R.W. Yip et al., <i>Proceedings of the 11th IEEE Computer Security Foundations Workshop</i> , Rockport, Massachusetts, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1998
<i>Am</i>	D	"The Design and Implementation of a Data Level Database Inference Detection System", Yip et al., <i>Proceedings of the Twelfth Annual IFIP WG 11.3 Working Conference on Database Security</i> , Chalkidiki, Greece 14 pages, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1998
<i>Am</i>	E	"Theft of Information in the Take-Grant Protection Model", Matt Bishop, 35 pages, <i>Journal of Computer Security</i> 4(4)(1996), (found at http://seclab.cs.ucdavis.edu/papers.html .)				3/13/97
<i>Am</i>	F	"Information Survivability, Security, and Fault Tolerance", Matt Bishop, position paper for the Information Survivability Workshop <i>Journal of Computer Security</i> #6) 1 page, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1997
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<i>Am</i>	I	"An Efficient Message Authentication Scheme for Link State Routing", S. Cheung, <i>Proc. 13th annual Computer Security Applications Conference</i> , San Diego, CA, 9 pages, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1997
<i>Am</i>	J	"Cryptographic Verification of Test Coverage Claims", P. Devanbu et al., <i>Proceedings, Fifth ACM/SIGSOFT Conference on Foundations of Software Engineering</i> Zurich, Switzerland) (found at http://seclab.cs.ucdavis.edu/papers.html .)				1997
<i>Am</i>	K	"Property-Based Testing: A New Approach to Testing for Assurance", Fink et al., <i>ACM SIGSOFT Software Engineering Notes</i> , 22(4), (found at http://seclab.cs.ucdavis.edu/papers.html .)				1997
<i>Am</i>	L	"Checking for Race Conditions in File Accesses", Bishop et al., <i>Computing Systems</i> 9(2), (found at http://seclab.cs.ucdavis.edu/papers.html .)				1996
<i>Am</i>	M	"An Isolated Network for Research", Bishop et al., <i>The 19th NISSC</i> , pp. 1-9, (found at http://seclab.cs.ucdavis.edu/papers.html .)				1996
<i>Am</i>	N	"Goal-Oriented Auditing and Logging", Bishop et al., submitted to <i>IEEE Transactions on Computing Systems</i> , (found at http://seclab.cs.ucdavis.edu/papers.html .)				1996
<i>Am</i>	O	"Extending The Take-Grant Protection System", J. Frank et al., <i>The IEEE Oakland Conference on Research in Security and Privacy</i> , (found at http://seclab.cs.ucdavis.edu/papers.html .)				12/5/96
<i>Am</i>	P	"Network Security Via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, Guha et al., <i>Proc. of the IEEE Infocom '96</i> , San Francisco, CA (found at http://seclab.cs.ucdavis.edu/papers.html .)				1996
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<i>Am</i>	C	"Attack Class: Address Spoofing", Heberlein et al., The 19th National Information Systems Security Conference, (found at http://seclab.cs.ucdavis.edu/papers.html).					1996
<i>Am</i>	D	PhD. Theses entitled "Execution Monitoring Of Security-Critical Programs In A Distributed System: A Specification-Based Approach, Calvin Cheuk Wang Ko, 111 pages, (found at http://seclab.cs.ucdavis.edu/papers.html).					1996
<i>Am</i>	E	"A Methodology for Testing Intrusion Detection Systems", Puketza et al., <i>IEEE Transactions on Software Engineering</i> , Vol. 22, No. 10, (found at http://seclab.cs.ucdavis.edu/papers.html).					1996
<i>Am</i>	F	"The Exact Security of Digital Signatures-How to Sign with RSA and Rabin", Bellare et al. Earlier version appears in <i>Advances in Cryptology--Eurocrypt '96</i> , LNCS Vol. 1070, U. Maurer ed., Springer-Verlag, pp. 399-416, (found at http://seclab.cs.ucdavis.edu/papers.html).					1996
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<i>Am</i>	H	"GrIDS-A Graph Based Intrusion Detection System For Large Networks", Staniford-Chen et al., <i>The 19th NISSC</i> , 10 pages, (found at http://seclab.cs.ucdavis.edu/papers.html).					1996
<i>Am</i>	I	"NetKuang-A Multi-Host Configuration Vulnerability Checker", Zerkle et al., <i>Proc. of the 6th USENIX Security Symposium</i> , San Jose, CA., (found at http://seclab.cs.ucdavis.edu/papers.html).					1996
<i>Am</i>	J	Abstract entitled "Theft of Information in the Take-Grant Protection Model, Matt Bishop, <i>Journal of Computer Security</i> , Vol. 3, No. 4, (found at http://seclab.cs.ucdavis.edu/papers.html).					1995
<i>Am</i>	K	"Improving System Security via Proactive Password Checking", Matt Bishop, <i>Computers & Security</i> , Vol. 14, No. 3, pp.233-249, (found at http://seclab.cs.ucdavis.edu/papers.html).					1995
<i>Am</i>	L	"Simulating Concurrent Intrusions for Testing Intrusion Detection Systems: Parallelizing Intrusions", Chung et al., <i>Proc. of the 1995 NISSC</i> , Baltimore, MD, 11 pages, (found at http://seclab.cs.ucdavis.edu/papers.html).					1995
<i>Am</i>	M	"Network Security Monitor", L. Todd Heberlein, <i>Lawrence Livermore National Laboratory project deliverable</i> , (found at http://seclab.cs.ucdavis.edu/papers.html).					1995
<i>Am</i>	N	"Audit Log Analysis Using the Visual Audit Browser Toolkit", Hoagland et al., <i>U.C. Davis Computer Science Department Technical Report CSE-95-11</i> , (found at http://seclab.cs.ucdavis.edu/papers.html).					1995
<i>Am</i>	O	"MCF: A Malicious Code Filter", R.W. Lo et al., <i>Computers & Security</i> , (1995) Vol. 14, no. 6. (27 pages.), (found at http://seclab.cs.ucdavis.edu/papers.html).					1995
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<i>an</i>	D	"Provably Secure Session Key Distribution-The Three Party Case", Bellare et al., <i>Proc. of the 27th Annual ACM Symposium on Theory of Computing</i> , Las Vegas, NV, (found at http://seclab.cs.ucdavis.edu/papers.html).				1995
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<i>an</i>	F	"Holding Intruders Accountable on the Internet", Staniford-Chen et al., <i>Proc. of the 1995 IEEE Symposium on Security and Privacy</i> , Oakland, CA, (11 pages) (found at http://seclab.cs.ucdavis.edu/papers.html).				1995
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PTO-1449 Information Disclosure Citation in an Application		Application No. 09/415,293		Applicant Earl T. Carter	
		Docket Number 062891.0324		Group Art Unit Unknown 2/32	Filing Date 10/8/99

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		Docket Number		Group Art Unit		
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		Docket Number 062891.0324		Group Art Unit / Filing Date Unknown / 10/8/99 <i>232</i> RECEIVED DEC 07 1999	
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